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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Brian Ross Cartmell, et al

Serial No:

10/016,477

Application Date:

December 10, 2001

Publication No.:

2003/0110400

Publication Date:

June 12, 2003

For:

METHOD AND SYSTEM FOR BLOCKING  
UNWANTED COMMUNICATIONS

**RECEIVED**

MAR 19 2004

Technology Center 2100

TRANSMITTAL FOR THIRD PARTY SUBMISSION  
IN PUBLISHED APPLICATION UNDER 37 C.F.R. §1.99

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing, pursuant to 37 C.F.R. § 1.99, is a Third Party Submission in Published Application, which includes the following statements, if any, required under 37 C.F.R. § 1.99:

A. Additional Materials Required Due to Content of Third Party Submission in Published Application

Transmitted are the following documents in addition to the Third Party Submission in Published Application as required under 37 C.F.R. § 1.99:

X Listing four (4) references submitted for consideration.

X A copy of each of the references listed.

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

X The submission fee of \$180.00 set forth in 37 C.F.R. § 1.17(p).

X The submission fee of \$130.00 set forth in 37 C.F.R. § 1.17(i).

Dated this 25 day of November 2003.

Respectfully submitted,



R. Burns Israelsen  
Registration No. 42,685  
Customer No.: 022913

EXPRESS MAIL LABEL NO: EV 396 739 615 US

THIRD PARTY SUBMISSION

Docket No: 15690.32

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
		)
	Brian Ross Cartmell, et al	)
		)
Serial No:	10/016,477	)
		)
Application Date:	December 10, 2001	)
		)
Publication No.:	2003/0110400	)
		)
Publication Date:	June 12, 2003	)
		)
For:	METHOD AND SYSTEM FOR BLOCKING	)
	UNWANTED COMMUNICATIONS	)

RECEIVED

MAR 1 9 2004

THIRD PARTY SUBMISSION IN PUBLISHED APPLICATION Technology Center 2100  
UNDER 37 C.F.R. § 1.99

Commissioner for Patents  
PO Box 1450  
Arlington, Virginia 22313-1450

Sir:

Please find, enclosed a list of References submitted pursuant to 37 C.F.R. § 1.99 listing four (4) patents and/or publications that have come to the attention of Mailblocks, Inc., 201 Main Street Suite 100, Los Altos, California 94022, ("Mailblocks") submitted for consideration of the Examiner in the connection with the examination of the above-referenced application.

Mailblocks could not have submitted the enclosed references within the time period specified by 37 C.F.R. 1.99(e) because a search that identified at least some of those references was commissioned after such time period had run.

Dated this 25 day of November 2003.

Respectfully submitted,



R. Burns Israelsen  
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PFM/jw

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : )  
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 Brian Ross Cartmell, et al )  
 )  
 Serial No: 10/016,477 )  
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 Application Date: December 10, 2001 )  
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 )  
 Publication Date: June 12, 2003 )  
 )  
 For: METHOD AND SYSTEM FOR BLOCKING )  
 UNWANTED COMMUNICATIONS )

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Arlington, Virginia 22313-1450, on the 25 day of November 2003.

- Transmittal for Third Party Submission in Published Application under 37 C.F.R. § 1.99 (2 pgs dup)
- Third Party Submission in Published Application Under 37 C.F.R. § 1.99 (2 pgs)
- Copy of Certificate of Service pursuant to 37 C.F.R. § 2.48 (1 pg).
- A list of References submitted by Third Party pursuant to 37 C.F.R. § 1.99 (1pg)
- A copy of each of the listed references.
- Postcard

Respectfully submitted,



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**CERTIFICATE OF SERVICE**  
**PURSUANT TO 37 C.F.R. § 1.248**

I hereby certify that I caused a true and correct copy of each of the following four (4) references:

U.S. 6,112,227	Heiner
U.S. 6,199,102	Cobb
U.S. 6,546,416	Kirsch
U.S. 2003/0009698A1	Lindeman et al

to be mailed by Express Mail, # EV397845187US, this 25 day of November, 2003 to:

Maurice J. Pirio, Esq.  
PERKINS COIE LLP  
PATENT-SEA  
P.O. Box 1247  
Seattle, WA 98111-1247

  
\_\_\_\_\_  
R. Burns Israel

**LIST OF REFERENCES SUBMITTED BY**  
**THIRD PARTY PURSUANT TO 37 C.F.R. § 1.99**

- |    |                     |                |
|----|---------------------|----------------|
| 1. | U.S. 6,112,227      | Heiner         |
| 2. | U.S. 6,199,102      | Cobb           |
| 3. | U.S. 6,546,416      | Kirsch         |
| 4. | U.S. 2003/0009698A1 | Lindeman et al |